



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/763,638  
Filed : January 23, 2004  
Applicants : Shridhar P. Joshi  
Alfred Thomas  
Title : Gaming Machine With Multi-Level Progressive Jackpot  
TC/A.U. : 3714  
Examiner : Not Assigned  
Docket No. : 47079-00225USP1  
Customer No. : 30223

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 24, 2004.

Signature:

*Janet L. Newmaker*  
Janet L. Newmaker

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Second Information Disclosure Statement be entered, and the references listed on enclosed Form PTO-1449 be considered by the Examiner and made of record. In accordance with the waiver of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and publication for all U.S. national patent applications filed after June 30, 2003, only copies of the other documents are enclosed.

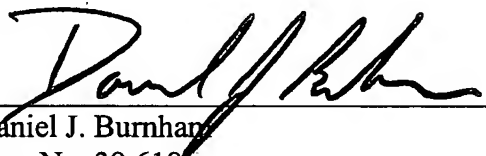
In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Second Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission


that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability, as defined in 37 C.F.R. § 1.56.

This Second Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47079-00225USP1). A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

Date: May 24, 2004

  
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Attorney for Applicants

Form PTO-1449 (modified)  <b>LIST OF ART CITED BY APPLICANT</b>  <div style="text-align: center;">  </div>				Application No.: 10/763,638 Filing Date: January 23, 2004 First Named Inventor: Shridhar P. Joshi Group Art Unit: 3714 Examiner: Not Assigned Attorney Docket No.: 47079-00225USP1			
Sheet 1 of 3							
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A41	2002/0138594	09/26/2002	Rowe	709	219	
	A42	2002/0151345	10/17/2002	Byrne	463	18	
	A43	2002/0155874	10/24/2002	Byrne	463	16	
	A44	2003/0027618	02/06/2003	Byrne	463	16	
	A45	2003/0036430	02/20/2003	Cannon	463	42	
	A46	2003/0045337	03/06/2003	Byrne	463	16	
	A47	2003/0064776	04/03/2003	Byrne	463	16	
	A48	2003/0148808	08/07/2003	Price	463	27	
	A49	2003/0181231	09/25/2003	Vancura et al.	463	9	
	A50	2003/0186733	10/02/2003	Wolf et al.	463	16	
	A51	2003/0216166	11/20/2003	Baerlocher et al.	463	20	
	A52	2003/0222402	12/04/2003	Olive	273	292	
	A53	2003/0228899	12/11/2003	Evans	463	25	
	A54	2003/0236116	12/25/2003	Marks et al.	463	16	
	A55	2004/0009808	01/15/2004	Gauselmann	463	25	
	A56	2004/0009811	01/15/2004	Torango	463	25	
	A57	2004/0038741	02/26/2004	Gauselmann	463	42	
	A58	2004/0048644	03/11/2004	Gerrard et al.	463	16	
	A59	4,837,728	06/06/1989	Barrie et al.	364	412	
	A60	5,249,800	10/05/1993	Hilgendorf et al.	273	138 A	
	A61	5,280,909	01/25/1994	Tracy	273	138 A	
	A62	5,344,144	09/06/1994	Canon	273	138 A	
	A63	5,393,057	02/28/1995	Marnell, II	273	85 CP	
	A64	5,580,063	12/03/1996	Edwards	273	378	
	A65	5,645,486	07/08/1997	Nagao et al.	463	27	
	A66	5,766,076	06/16/1998	Pease et al.	463	27	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

**\*Examiner:** Initial if citations considered, whether or not citation is in conformation with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO-1449 (modified)  <b>LIST OF ART CITED BY APPLICANT</b>   Sheet 2 of 3				Application No.: 10/763,638 Filing Date: January 23, 2004 First Named Inventor: Shridhar P. Joshi Group Art Unit: 3714 Examiner: Not Assigned Attorney Docket No.: 47079-00225USP1			
U.S. PATENT DOCUMENTS (continued)							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A67	5,855,515	01/05/1999	Pease et al.	463	27	
	A68	6,089,980	07/18/2000	Gauselmann	463	27	
	A69	6,102,474	08/15/2000	Daley	296	836	
	A70	6,102,799	08/15/2000	Stupak	463	27	
	A71	6,142,872	11/07/2000	Walker et al.	463	16	
	A72	6,168,523	01/02/2001	Piechowiak et al.	463	26	
	A73	6,203,010	03/20/2001	Jorasch et al.	273	138.1	
	A74	6,206,782	03/27/2001	Walker et al.	463	25	
	A75	6,210,275	04/03/2001	Olsen	463	16	
	A76	6,210,277	04/03/2001	Stefan	463	27	
	A77	6,224,482	05/01/2001	Bennett	463	20	
	A78	6,312,332	11/06/2001	Walker et al.	463	23	
	A79	6,336,862	01/08/2002	Byrne	463	27	
	A80	6,361,441	03/26/2002	Walker et al.	463	42	
	A81	6,364,768	04/02/2002	Acres et al.	463	25	
	A82	6,375,568	04/23/2002	Roffman et al.	463	26	
	A83	6,416,409	07/09/2002	Jordan	463	27	
	A84	6,431,983	08/13/2002	Acres	463	25	
	A85	6,435,968	08/20/2002	Torango	463	27	
	A86	6,589,115	07/08/2003	Walker et al.	463	25	
	A87	6,592,460	07/15/2003	Torango	463	27	
	A88	6,599,186	07/29/2003	Walker et al.	463	17	
	A89	6,599,188	07/29/2003	Hirsch et al.	463	19	
	A90	6,599,193	07/29/2003	Baerlocher et al.	463	27	
	A91	6,648,762	11/18/2003	Walker et al.	463	25	
EXAMINER				DATE CONSIDERED			

\***Examiner:** Initial if citations considered, whether or not citation is in conformation with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO-1449 (modified)  <b>LIST OF ART CITED BY APPLICANT</b>   Sheet 3 of 3				Application No.: 10/763,638 Filing Date: January 23, 2004 First Named Inventor: Shridhar P. Joshi Group Art Unit: 3714 Examiner: Not Assigned Attorney Docket No.: 47079-00225USP1			
<b>U.S. PATENT DOCUMENTS (continued)</b>							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A92	6,712,695	03/30/2004	Mothwurf et al.	463	25	
	A93	6,733,390	05/11/2004	Walker et al.	463	23	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
<b>OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)</b>							
Examiner Initial	Ref.	Document Information					
	C5	Article for "Easy Riches" by Sigma Game, Strictly Slots, 1 page (August 2001)					
	C6	Article for "Millioniser" by Glenn Haussman, Strictly Slots, pp. 50-53 (March 2004)					
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

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